

Unit-1

Divide and Conquer

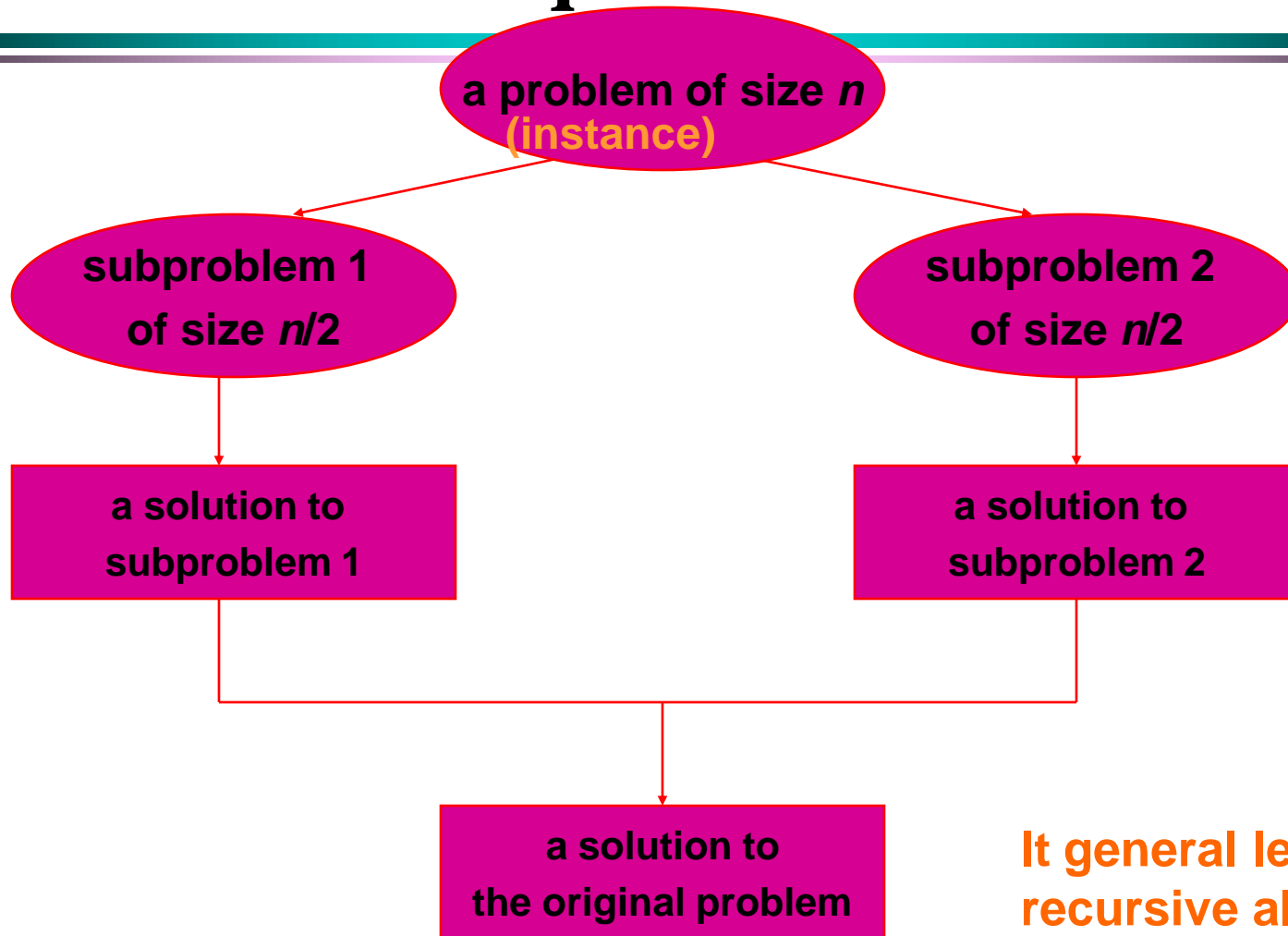
- Dr. K.RAGHAVA RAO
 - Professor in CSE
 - KL University
 - krraocse@gmail.com
 - <http://mcadaa.blog.com>

Divide and Conquer: General Method

Definition:

Divide the problem into a number of subproblems, Conquer the subproblems by solving them recursively. If the subproblem sizes are small enough, solve the subproblems recursively, and then combine these solutions to create a solution to the original problem.

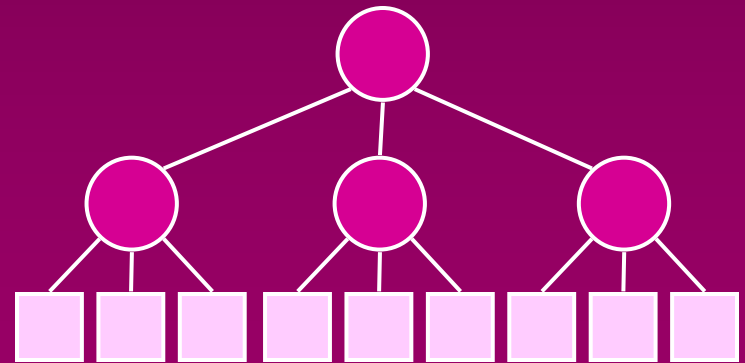
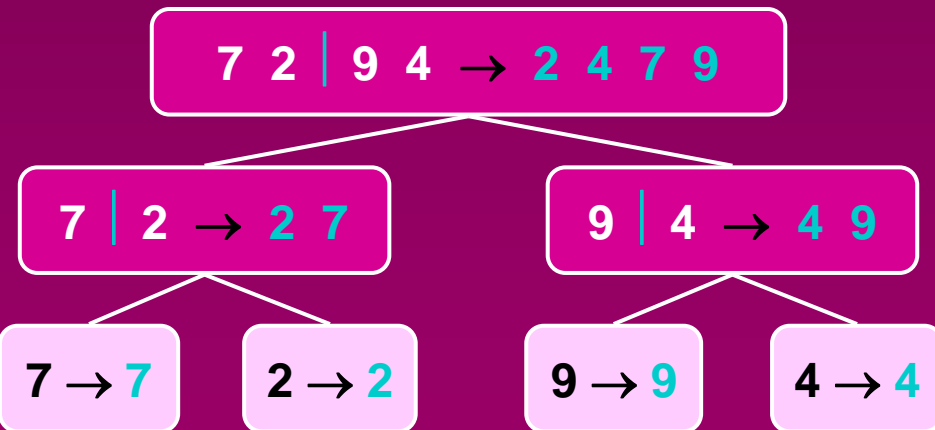
Divide and Conquer: General Method



It general leads to a recursive algorithm!

Divide and Conquer: General Method

- **Divide-and conquer** is a general algorithm design paradigm:
 - **Divide**: divide the input data S in two or more disjoint subsets S_1, S_2, \dots
 - **Recur**: solve the subproblems recursively
 - **Conquer**: combine the solutions for S_1, S_2, \dots , into a solution for S
- The base case for the recursion are subproblems of constant size. Analysis can be done using **recurrence equations**



Divide and Conquer: General Method

```
Algorithm D-and-C(n: input size) {  
if  $n \leq n_0$  /* small size problem*/  
Solve problem without further sub-division;  
Else  
{  
    Divide into m sub-problems;  
    Conquer the sub-problems by solving them  
    independently and recursively; /* D-and-C(n/k) */  
    Combine the solutions;  
}  
}
```

Advantage: straightforward and running times are often easily Determined

Divide and Conquer: General Method

Divide-and-Conquer Recurrence Relations

Suppose that a recursive algorithm divides a problem of size n into a parts, where each sub-problem is of size n/b . Also, suppose that a total number of $g(n)$ extra operations are needed in the conquer step of the algorithm to combine the solutions of the sub-problems into a solution of the original problem. Let $f(n)$ be the number of operations required to solve the problem of size n . Then f satisfies the recurrence relation

$$f(n) = a f(n/b) + g(n)$$

and it is called divide-and-conquer recurrence relation.

Divide and Conquer: General Method

>-The computing time of Divide and conquer is described by recurrence relation.

$$T(n) = \begin{cases} g(n) & n \text{ small} \\ T(n_1) + T(n_2) + \dots + T(n_k) + f(n) & \text{other wise} \end{cases}$$

>- $T(n)$ is the time for Divide and Conquer on any input of size n and $g(n)$ is the time to compute the answer directly for small inputs. The function of $f(n)$ is the time for dividing P combining solutions to subproblems.

>-For divide-and-conquer-based algorithms that produce subproblems of the same type as the original problem, then such algorithm described using recursion.

Divide and Conquer: General Method

The complexity of many divide-and-conquer algorithms is given by recurrence of the form.

$$T(n) = \begin{cases} T(1) & n=1 \\ a T(n/b) + f(n) & n>1 \end{cases} \text{ where } a \text{ and } b \text{ are known constants,}$$

and n is a power of b ($n=b^k$).

One of the methods for solving any such recurrence relation is called substitution method.

Divide and Conquer: General Method

Examples:

If $a=2$ and $b=2$. Let $T(1)=2$ and $f(n)=n$. Then

$$T(n) = 2T(n/2) + n$$

$$= 2[2T(n/4) + n/2] + n$$

$$= 4T(n/4) + 2n$$

$$= 4[2T(n/8) + n/4] + 2n$$

$$= 8T(n/8) + 3n$$

.

.

.

In general, $T(n) = 2^i T(n/2^i) + in$, for any $\log_2 n \geq i \geq 1$. In Particular, then

$$T(n) = 2^{\log_2 n} T(n/2^{\log_2 n}) + n \log_2 n \text{ corresponding to choice of}$$

$$i = \log_2 n. \text{ Thus, } T(n) = n T(1) + n \log_2 n = n \log_2 n + 2n.$$

Divide and Conquer: General Method

- Exercise for students
- Solve above recurrency relation when
 - 1) $a=1$, $b=2$ and $f(n)=cn$
 - 2) $a=5$, $b=4$ and $f(n)=cn^2$
 -
 - 3) $a=28$ $b=3$ and $f(n) =cn^3$

Divide and Conquer: Min and Max

- The **minimum** of a set of elements:
 - The first order statistic $i = 1$
- The **maximum** of a set of elements:
 - The n -th order statistic $i = n$
- The **median** is the “halfway point” of the set
 - $i = (n+1)/2$, is unique when n is **odd**
 - $i = \lfloor (n+1)/2 \rfloor = n/2$ (**lower median**) and $\lceil (n+1)/2 \rceil = n/2+1$ (**upper median**), when n is **even**

Finding Minimum or Maximum

Alg.: MINIMUM(A, n)

min $\leftarrow A[1]$

for $i \leftarrow 2$ to n

do if min $> A[i]$

then min $\leftarrow A[i]$

return min

- How many comparisons are needed?
 - $n - 1$: each element, except the minimum, must be compared to a smaller element at least once
 - The same number of comparisons are needed to find the maximum
 - The algorithm is optimal with respect to the number of comparisons performed

Simultaneous Min, Max

- Find min and max independently
 - Use $n - 1$ comparisons for each \Rightarrow total of $2n - 2$
- At most $3n/2$ comparisons are needed
 - Process elements in pairs
 - Maintain the minimum and maximum of elements seen so far
 - Don't compare each element to the minimum and maximum separately
 - Compare the elements of a pair to each other
 - Compare the larger element to the maximum so far, and compare the smaller element to the minimum so far
 - This leads to only 3 comparisons for every 2 elements

Analysis of Simultaneous Min, Max

- **Setting up initial values:**
 - **set both min and max to the first element**
 - **compare the first two elements, assign the smallest one to min and the largest one to max**
 - **n is odd:**
 - **n is even:**
- **Total number of comparisons:**
 - **n is odd: we do $3(n-1)/2$ comparisons**
 - **n is even: we do 1 initial comparison + $3(n-2)/2$ more comparisons = $3n/2 - 2$ comparisons**

Example: Simultaneous Min, Max

- $n = 5$ (odd), array $A = \{2, 7, 1, 3, 4\}$
 1. Set **min** = **max** = 2
 2. Compare elements in pairs:
 - $1 < 7 \Rightarrow$ compare 1 with **min** and 7 with **max**
 \Rightarrow **min** = 1, **max** = 7 } **3 comparisons**
 - $3 < 4 \Rightarrow$ compare 3 with **min** and 4 with **max**
 \Rightarrow **min** = 1, **max** = 7 } **3 comparisons**

We performed: $3(n-1)/2 = 6$ comparisons

Example: Simultaneous Min, Max

- $n = 6$ (even), array $A = \{2, 5, 3, 7, 1, 4\}$ } **1 comparison**
 1. Compare 2 with 5: $2 < 5$
 2. Set **min** = 2, **max** = 5
 3. Compare elements in pairs: } **3 comparisons**
 - $3 < 7 \Rightarrow$ compare 3 with **min** and 7 with **max**
 \Rightarrow **min** = 2, **max** = 7 } **3 comparisons**
 - $1 < 4 \Rightarrow$ compare 1 with **min** and 4 with **max**

We performed: $3n/2 - 2 = 7$ comparisons
 \Rightarrow **min** = 1, **max** = 7

Divide and Conquer: Binary Search

Binary search method.

The basic idea is to start with an examination of the middle element of the array. This will lead to 3 possible situations:

If this matches the target K , then search can terminate successfully, by printing out the index of the element in the array.

On the other hand, if $K < A[\text{middle}]$, then search can be limited to elements to the left of $A[\text{middle}]$. All elements to the right of middle can be ignored.

If it turns out that $K > A[\text{middle}]$, then further search is limited to elements to the right of $A[\text{middle}]$.

If all elements are exhausted and the target is not found in the array, then the method returns a special value such as -1 .

Divide and Conquer: Binary Search

Here is one version of the Binary Search function:

```
int BinarySearch (int A[ ], int n, int K)
```

```
{  
int L=0, Mid, R= n-1;  
while (L<=R)  
{  
Mid = (L +R)/2;  
if ( K==A[Mid] )  
return Mid;  
else if ( K > A[Mid] )  
L = Mid + 1;  
else  
R = Mid - 1 ;  
}  
return -1 ;}
```

Divide and Conquer: Binary Search

Let us now carry out an Analysis of this method to determine its time complexity. Since

there are no “for” loops, we can not use summations to express the total number of

operations. Let us examine the operations for a specific case, where the number of

elements in the array n is 64.

When $n = 64$ BinarySearch is called to reduce size to $n = 32$

When $n = 32$ BinarySearch is called to reduce size to $n = 16$

When $n = 16$ BinarySearch is called to reduce size to $n = 8$

When $n = 8$ BinarySearch is called to reduce size to $n = 4$

When $n = 4$ BinarySearch is called to reduce size to $n = 2$

When $n = 2$ BinarySearch is called to reduce size to $n = 1$

Divide and Conquer: Binary Search

Thus we see that BinarySearch function is called 6 times (6 elements of the array were examined) for $n = 64$.

Note that $64 = 2^6$

Also we see that the BinarySearch function is called 5 times (5 elements of the array were examined) for $n = 32$.

Note that $32 = 2^5$

Let us consider a more general case where n is still a power of 2. Let us say $n = 2^k$.

Divide and Conquer: Binary Search

Following the above argument for 64 elements, it is easily seen that after k searches, the

while loop is executed k times and n reduces to size 1.

Let us assume that each run of the while loop involves at most 5 operations.

Thus total number of operations: $5k$.

The value of k can be determined from the expression

$$2^k = n$$

Taking log of both sides

$$\text{Log } 2^k = \log n$$

Thus total number of operations = $5 \log n$.

We conclude that the time complexity of the Binary search method is $O(\log n)$, which is much more efficient than the Linear Search method.

Divide and Conquer: Binary Search

Here is second version of the Binary Search function:

Binary-Search ($A; p; q; x$)

- 1. if $p > q$ return -1;**
 - 2. $r = \lfloor (p + q) / 2 \rfloor$**
 - 3. if $x = A[r]$ return r**
 - 4. else if $x < A[r]$ Binary-Search($A; p; r; x$)**
 - 5. else Binary-Search($A; r + 1; q; x$)**
- ² *The initial call is Binary-Search($A; 1; n; x$).*

Binary Search

	[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]	[10]	[11]
list	4	8	19	25	34	39	45	48	66	75	89	95



Search list, list[0]...list[11]

Binary Search: middle element

$$\text{mid} = \frac{\text{left} + \text{right}}{2}$$

Binary Search: Example

	[0]	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]	[9]	[10]	[11]
list	4	8	19	25	34	39	45	48	66	75	89	95

Figure 9-4 Sorted list for a binary search

Table 9-1 Values of first, last, and middle and the Number of Comparisons for Search Item 89

Iteration	first	last	mid	list[mid]	Number of Comparisons
1	0	11	5	39	2
2	6	11	8	66	2
3	9	11	10	89	1 (found is true)

Binary Search

[0]	ant
[1]	cat
[2]	chicken
[3]	cow
[4]	deer
[5]	dog
[6]	fish
[7]	goat
[8]	horse
[9]	camel
[10]	snake

Searching for cat

BinarySearch(0, 10)	middle: 5	cat < dog
BinarySearch(0, 4)	middle: 2	cat < chicken
BinarySearch(0, 1)	middle: 0	cat > ant
BinarySearch(1, 1)	middle: 1	cat = cat Return: true

Searching for zebra

BinarySearch(0, 10)	middle: 5	zebra > dog
BinarySearch(6, 10)	middle: 8	zebra > horse
BinarySearch(9, 10)	middle: 9	zebra > camel
BinarySearch(10, 10)	middle: 10	zebra > snake
BinarySearch(11, 10)		last > first Return: false

Searching for fish

BinarySearch(0, 10)	middle: 5	fish > dog
BinarySearch(6, 10)	middle: 8	fish < horse
BinarySearch(6, 7)	middle: 6	fish = fish Return: true

Binary Search

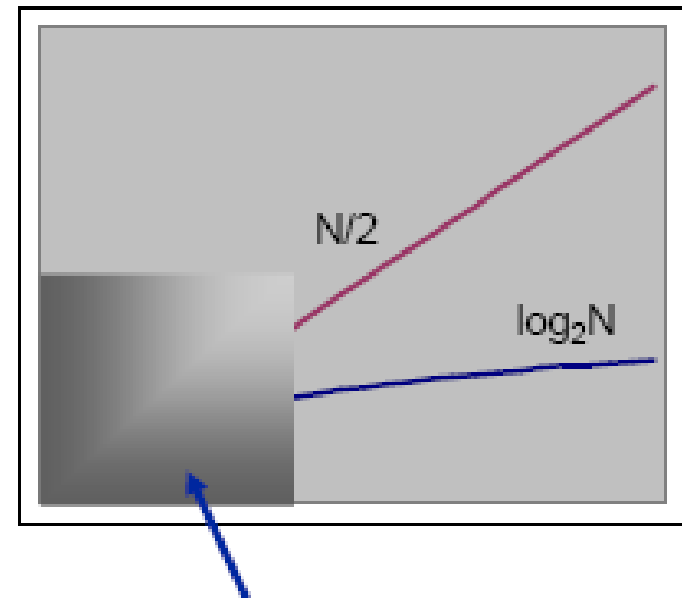
Binary Search Tradeoffs

◆ Benefit

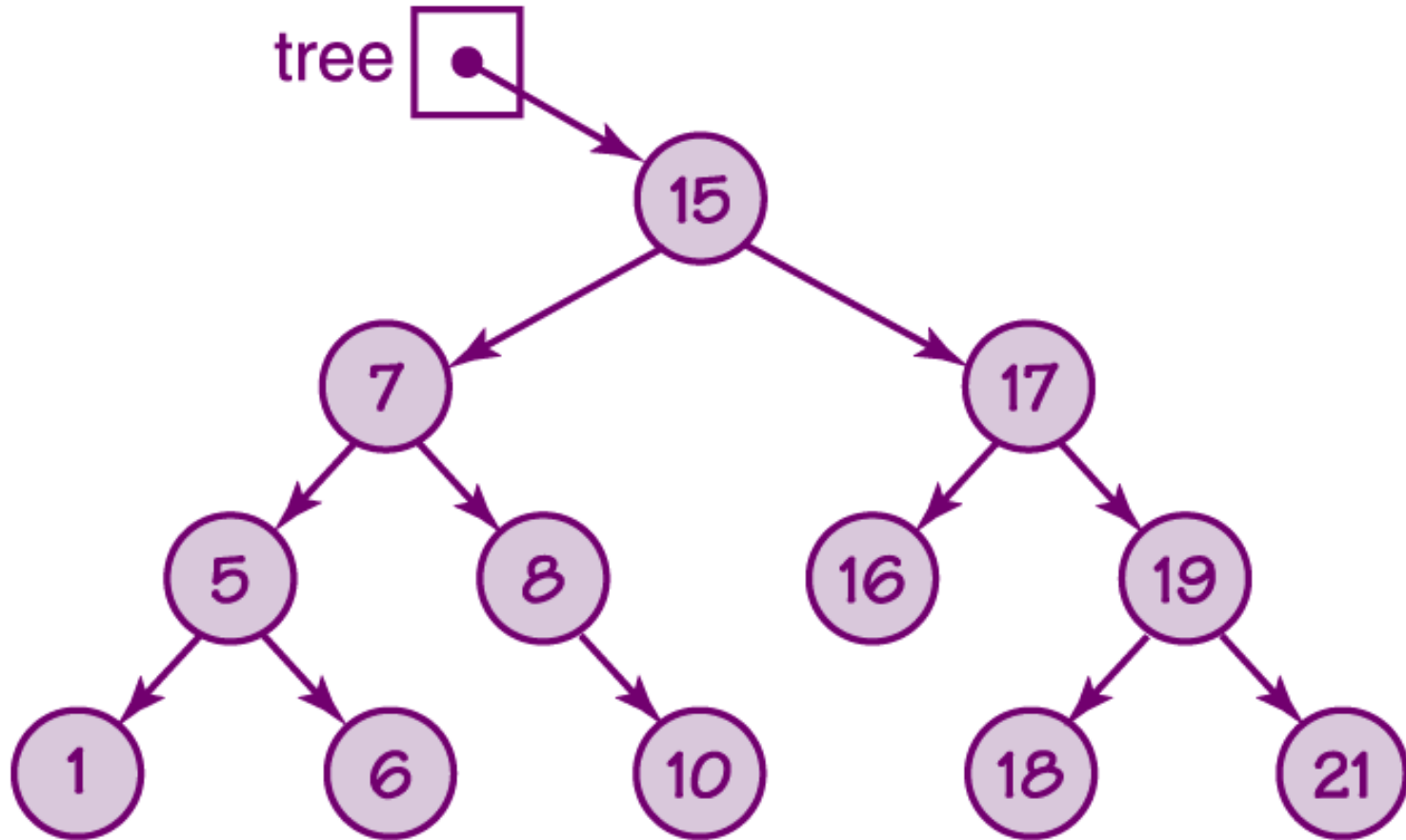
- Much more efficient than linear search (For array of N elements, performs at most $\log_2 N$ comparisons)

◆ Disadvantage

- Requires that array elements be sorted

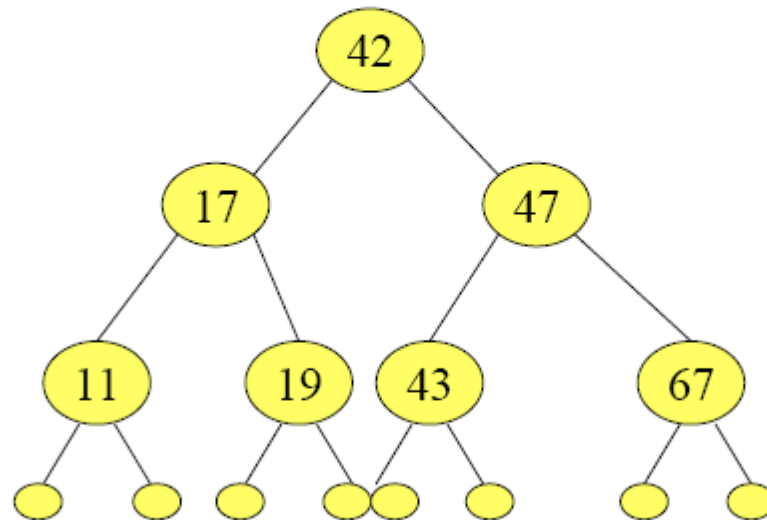


Binary Search Tree



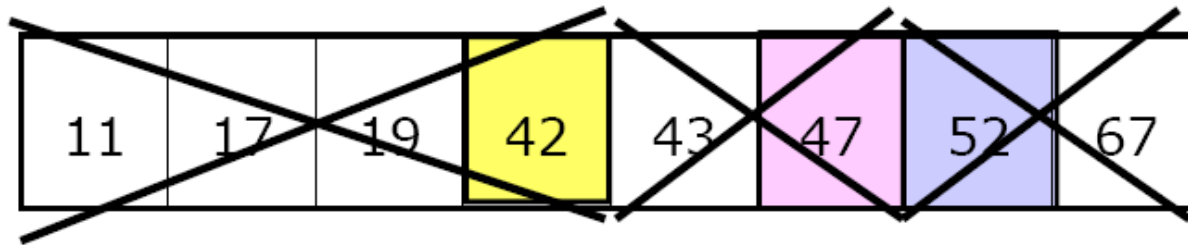
Binary Search Tree

Full and Balanced Binary Search Tree



Binary Search Tree

Binary Search



50 not found

3 comparisons

$3 = \text{Log}(8)$

Logarithmic Time Complexity of Binary Search

P Our analysis shows that binary search can be done in time proportional to the *log* of the number of items in the list

P This is considered *very fast* when compared to linear or polynomial algorithms

P The table to the right compares the number of operations that need to be performed for algorithms of various time complexities

The computing time binary search by best, average and worst cases:

Successful searches

$\Theta(1)$ best , $\Theta(\log n)$ average

$\Theta(\log n)$ worst

Unsuccessful searches

$\Theta(\log n)$ for best , average and worst case

Binary Search Tree

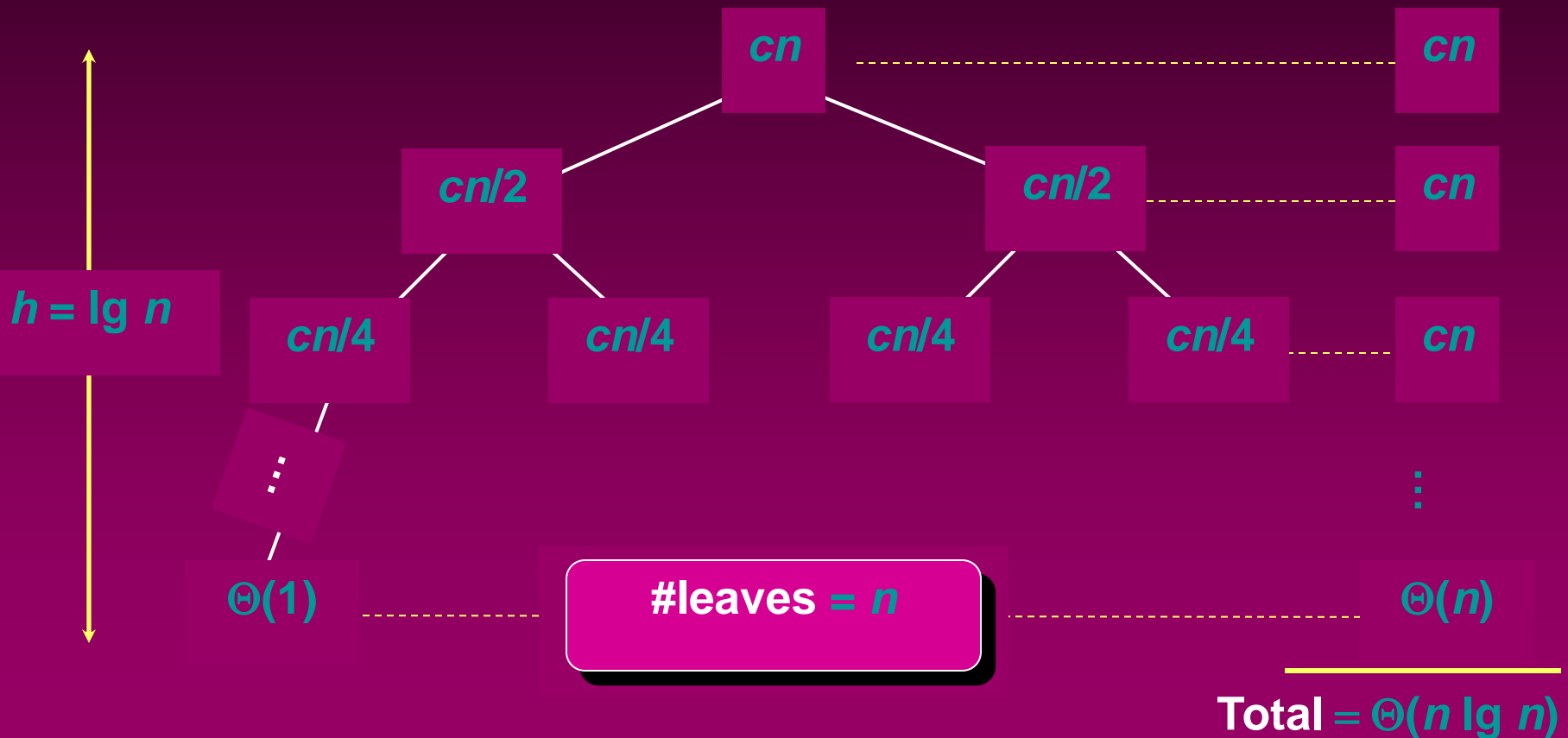
Binary Search

- Can be performed on
 - Sorted arrays
 - Full and balanced BSTs
- Compares and cuts half the work
 - We cut work in $\frac{1}{2}$ each time
 - How many times can we cut in half?

Binary search is **$O(\text{Log } N)$**

Recursion tree

Solve $T(n) = 2T(n/2) + cn$, where $c > 0$ is constant.



Big Oh notation

constant

$$f(n)=16$$

$$f(n)=27$$

$$f(n) \leq 16 \cdot 1 \text{ where } c=16 \text{ and } n_0=0$$

$$f(n) \leq 27 \cdot 1 \text{ where } c=27 \text{ and } n_0=0 \text{ so big oh notation as } O(1). \text{ So } f(n)=O(1).$$

Linear

$$f(n)=7n+5 \text{ find big oh notation}$$

$$f(n)=7n+5 \text{ for } n \geq 5$$

$$7n+5 \leq 7n+n \leq 8n \text{ (} c=8 \text{ } n_0=5 \text{), so } f(n)=O(n).$$

Quadratic

$$f(n)=27n^2+16n$$

$$f(n)=27n^2+16n, \text{ for } n^2 \geq 16n \text{ \{or } 16n \leq n^2 \}$$

$$27n^2+16n \leq 27n^2+n^2 \leq 28n^2 \text{ (} c=28, n_0=16 \text{)}$$

$$\text{So } f(n)=O(n^2)$$

Big Oh notation

If we consider $n \leq n^2$ then

$$27n^2 + 16n \leq 27n^2 + 16n^2 \leq 43n^2 \quad \{c=43, n_0=1\}$$

So, $f(n) = O(n^2)$

--

$$f(n) = 27n^2 + 16 \text{ for } n \geq 16$$

$$27n^2 + 16 \leq 27n^2 + n$$

Now, for $n \leq n^2$

$$27n^2 + n \leq 27n^2 + n^2 \leq 28n^2 \quad \{c=28, n_0=1\}$$

So, $f(n) = O(n^2)$

Big Oh notation

cubic functions

$$f(n) = 2n^3 + n^2 + 2n$$

$$f(n) = 2n^3 + n^2 + 2n \text{ for } n^2 > 2n \quad 2n^3 + n^2 + 2n \leq 2n^3 + n^2 + n^2 \leq 2n^3 + 2n^2$$

Now for $n^3 \geq 2n^2$

$$2n^3 + 2n^2 \leq 2n^3 + n^3 \leq 3n^3 \quad \{c=3, n_0=2\}$$

$$\text{So, } f(n) = O(n^3)$$

$$f(n) = 4n^3 + 2n + 3$$

$$f(n) = 4n^3 + 2n + 3 \text{ for } n \geq 3$$

$$f(n) = 4n^3 + 2n + 3 \leq 4n^3 + 2n + n \leq 4n^3 + 3n \text{ for } n^3 \leq 3n$$

$$4n^3 + 3n \leq 4n^3 + n^3 \leq 5n^3 \quad \{c=5, n_0=3\}$$

$$\text{So, } f(n) = O(n^3).$$

Big Oh notation

Exponential

$$f(n) = 2^{\text{pown}} + 6n^{\text{pow}2} + 3n$$

$$f(n) = 2^{\text{pown}} + 6n^{\text{pow}2} + 3n \text{ for } n^2 \geq 3n$$

$$2^{\text{pown}} + 6n^{\text{pow}2} + 3n \leq 2^{\text{pow } n} + 6n^{\text{pow}2} + n^{\text{pow}2} \leq 2^{\text{pow } n} + 7n^{\text{pow}2}$$

$$\text{for } 2^{\text{pow } n} \geq n^2 \text{ (} n \geq 4 \text{)}$$

$$2^{\text{pown}} + 7n^{\text{pow}2} + 2^{\text{pow } n} + 7 * 2^{\text{pown}} \leq 8 * 2^{\text{pown}} \text{ \{c=8, n0=4\}}$$

$$\text{So } f(n) = O(2^{\text{pown}})$$

Omega notation

Constant

$$f(n)=27$$

$f(n) \geq 26 \cdot 1$ where $c=26$ and $n_0=0$, so $f(n) = \Omega(1)$

Linear

$$f(n)=7n+5$$

$7n < 7n+5$ for all n . $\{c=7\}$ thus $f(n) = \Omega(n)$

Quadratic

$$f(n)=27n^2+16n$$

$$f(n)=27n^2+16n$$

$27n^2 < 27n^2 + 16n$, for all n $\{c=27\}$

So $f(n) = \Omega(n^2)$

Omega notation

cubic function

$$f(n) = 2n^3 + n^2 + 2n$$

$$2n^3 < 2n^3 + n^2 + 2n, \text{ for all } n, \{c=2\}$$

$$\text{So, } f(n) = \Omega(n^3)$$

$$f(n) = 4n^3 + 2n + 3$$

$$4n^3 < 4n^3 + 2n + 3, \text{ for all } n \{c=4\}$$

$$\text{So } f(n) = \Omega(n^3)$$

Exponential

$$f(n) = 2^n + 6n^2 + 3n$$

$$4 \cdot 2^n < 4 \cdot 2^n + 6n^2 + 3n, \text{ for all } n, \{c=4\}, f(n) = \Omega(2^n)$$

Theta notation

Constant

$$f(n)=1627$$

$$1626 \cdot 1 \leq f(n) \leq 1627 \quad c_1=1626, \quad c_2=1627, \quad \text{and } n_0=0, \quad \text{so } f(n) = \Theta(1)$$

Linear

$$f(n)=3n+5$$

$$3n < 3n+5 \text{ for all 'n', } c_1=3$$

Also

$$3n+5 \leq 4n \text{ for } n \geq 5, \quad c_2=4, \quad n_0=5, \quad \text{thus}$$

$$3n < 3n+5 \leq 4n \quad c_1=3, c_2=4, \quad n_0=5$$

$$\text{So, } f(n) = \Theta(n)$$

Theta notation

Quadratic

$$f(n) = 27n^2 + 16n + 25$$

$$27n^2 < 27n^2 + 16n + 25 \text{ for all } n > n_0 \quad c_1 = 27$$

Also

$$27n^2 + 16n + 25 \leq 28n^2 \quad c_2 = 28, n > n_0 = 17, \text{ thus}$$

$$27n^2 < 27n^2 + 16n + 25 \leq 28n^2, \quad c_1 = 27, c_2 = 28, n \geq n_0 = 17$$

$$f(n) = \Theta(n^2)$$

Theta notation

Cubic function

$$f(n) = 2n^3 + n^2 + 2n$$

$$2n^3 < 2n^3 + n^2 + 2n \text{ for all } n \geq n_0, c_1 = 2$$

Also

$$2n^3 + n^2 + 2n \leq 3n^3 \text{ for all } n \geq n_0 = 2, c_2 = 3$$

Thus

$$2n^3 < 2n^3 + n^2 + 2n \leq 3n^3$$

$$\text{So, } f(n) = \Theta(n^3)$$

Exponential

$$f(n) = 2^n + 6n^2 + 3n$$

$$2^{n-1} < 2^n + 6n^2 + 3n \text{ for all } n \geq n_0, c_1 = 1$$

$$\text{Also } 2^n + 6n^2 + 3n < 8 \cdot 2^n \text{ for all } n \geq n_0 = 4, c_2 = 8$$

$$\text{thus } 2^{n-1} < 2^n + 6n^2 + 3n < 8 \cdot 2^n \text{ for all } n > n_0 = 4, c_1 = 1, c_2 = 8$$

-
- $F(n) = \Theta(2^n)$